

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
26 May 2006 (26.05.2006)

PCT

(10) International Publication Number  
WO 2006/055521 A2

(51) International Patent Classification:  
*G01N 21/64* (2006.01)      *CI2Q 1/68* (2006.01)

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(21) International Application Number:  
PCT/US2005/041264

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(22) International Filing Date:  
15 November 2005 (15.11.2005)

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
10/990,167      16 November 2004 (16.11.2004) US  
11/234,420      23 September 2005 (23.09.2005) US

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

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WO 2006/055521 A2

(54) Title: AN OPTICAL TRAIN AND METHOD FOR TIRF SINGLE MOLECULE DETECTION AND ANALYSIS

(57) Abstract: In one aspect the invention relates to an apparatus for analyzing the presence of a single molecule using total internal reflection. In one embodiment an apparatus for single molecule analysis includes a support having a sample located thereon; two sources of light at distinct wavelengths, a collimator for directing the light onto the sample through a total internal reflection objective; a receiver for receiving a fluorescent emission produced by a single molecule in the sample in response to the light; and a detector for detecting each of the wavelengths in the fluorescent emission. In another embodiment the apparatus further comprises a focusing laser for maintaining focus of the objective on the sample.

**An Optical Train and Method for TIRF Single Molecule**

**Detection and Analysis**

**Field of the Invention**

5   **[0001]**    The invention relates generally to the optical detection and analysis of single molecules and more specifically to the optical detection of single molecules using total internal reflection.

**Background of the Invention**

10   **[0002]**    Single molecule analysis permits a researcher to analyze the sequence of bases in a nucleic acid strand by building a complementary strand to the nucleic acid of interest one base at a time and determining which base has been incorporated. By performing this operation on hundreds of sample nucleic acids simultaneously one can sequence a large genome in a relatively short period.

15   **[0003]**    To perform this form of sequencing many techniques have been used, ranging from chromatographic columns to radionuclide detection. Most of these methods suffer from a difficulty in detecting the addition of a single base repeatedly.

20   **[0004]**    The present invention provides a mechanism to not only detect and record the addition of bases to multiple samples of DNA at a time but also to do so repeatedly and accurately.

### Summary of the Invention

**[0005]** In one aspect the invention relates to an apparatus for analyzing the presence of a single molecule using total internal reflection fluorescence (TIRF). In one embodiment an apparatus for single molecule analysis includes a

5 support having a sample located thereon; at least two lasers that produce light at distinct wavelengths, a collimator for directing the light onto the sample through a total internal reflection (TIR) objective; a receiver for receiving a fluorescent emission produced by a single molecule in the sample in response to the light; and a detector for detecting each of the wavelengths in the

10 fluorescent emission. In another embodiment the apparatus further comprises a focusing laser for maintaining focus of the objective on the sample.

**[0006]** In one embodiment the collimator includes a band-pass filter, a diverging lens in optical communication with the band-pass filter, a collimating lens in optical communication with the diverging lens, a field stop in optical

15 communication with the collimating lens, and a converging lens in optical communication with the field stop. In another embodiment the receiver includes a tube lens and a band-pass filter in optical communication with the tube lens.

**[0007]** In yet another embodiment the support is a stage that is associated with a flow cell. In another embodiment the cameras are in communication with

20 a computer for storage and analysis of images produced by fluorescent emission.

**[0008]** In another embodiment the apparatus for analysis of single molecules includes a first laser; a band-pass filter in optical communication with said the laser; at least one first lens in optical communication with the band-pass filter; a second laser; a second band-pass filter in optical communication with the second laser; at least one second lens in optical communication with the second band-pass filter; and a dichroic beam combiner in optical communication with the at least one first lens and the at least one second lens. A collimator is in optical communication with the dichroic beam combiner; a field stop in optical communication with the collimator; an illumination dichroic lens 10 for passing light from said first and second lasers to an objective for focusing on a sample and for passing fluorescent emissions from said sample to a detector. A camera dichroic filter is positioned for passing light of a first wavelength to a first camera and light of a second wavelength to a second camera; and a computer in communication with the first and second cameras for analyzing the 15 fluorescent emissions.

**[0009]** In one embodiment the apparatus includes a sample plate having a sample located thereon; one or more sources for providing two wavelengths of light; a collimator for producing a spot of collimated light of a defined size on said sample; a receiver of a fluorescent image produced by the sample by each 20 of said wavelengths of light and reducing non-fluorescent light; and a detector for detecting the fluorescent image produced by the sample by each of said wavelengths of light. In one embodiment the apparatus further includes a device for maintaining focus of the fluorescent image of said sample. In

another embodiment the light source for providing two wavelengths of light includes two lasers.

**[0010]** In yet another embodiment the collimator includes a band-pass filter, a diverging lens in optical communication with the band-pass filter; a collimating lens in optical communication with the diverging lens; a field stop in optical communication with the collimating lens, and a converging lens in optical communication with the field stop. In still yet another embodiment the receiver includes a tube lens; and a band-pass in optical communication with the tube lens. In one embodiment the detector includes a camera.

10 **[0011]** In another aspect the invention relates to a method for analyzing a single molecule comprising the steps of: providing a sample; producing light at two distinct wavelengths; directing the light at two distinct wavelengths onto the sample through a total internal reflection objective; receiving fluorescent emissions produced by a single molecule in the sample in response to the light at two distinct wavelengths; and detecting the fluorescent emissions. In yet 15 another aspect, the invention relates to a method for analyzing a single molecule comprising the steps of: providing a sample; producing light at two distinct wavelengths; directing the light at two distinct wavelengths onto the sample through a total internal reflection objective; receiving fluorescent emissions produced by a single molecule in the sample in response to the light at two distinct wavelengths; and detecting the fluorescent emissions.

20

**[0012]** Systems of the invention are preferably configured to operate with slides, arrays, channels, beads, bubbles, and the like that contain nucleic acid duplex for sequencing. In a preferred embodiment, the stage supports a flow cell that houses a glass or fused silica slide on which duplex is contained.

5 Preferred slides are coated with an epoxide, polyelectrolyte multilayer, or other coating suitable to bind nucleic acids. In a highly-preferred embodiment, as described below, slides are coated with an epoxide and nucleic acids are attached directly via an amine linkage. Either the template, the primer, or both may be attached to the surface. In other embodiments, the epoxide coating is  
10 derivatized to aid duplex attachment. For example, epoxide can be derivatized with streptavidin and duplex (primer, template, or both) can bear a biotin terminus that will attach to the streptavidin. Alternatively, other binding pairs, such as antigen/antibody or receptor/ligand pairs, may be used. Ideally, an epoxide surface is passivated in order to reduce background. Passivation can  
15 be conducted by exposing the surface to a molecule that attaches to the open epoxide ring. Examples of such molecules include, but are not limited to, amines, phosphates, and detergents.

**[0013]** Systems of the invention are useful in conducting template-dependent sequencing-by-synthesis reactions. Typically, those reactions  
20 involve the attachment of duplex to the imaging surface, followed by exposure to a plurality of optically-labeled nucleotide triphosphates in the presence of polymerase. The sequence of the template is determined by the order of labeled nucleotides incorporated into the 3' end of the primer portion of the

duplex. This can be done in real time or can be done in a step-and-repeat mode as described below. For real-time analysis, it is useful to attach different optical labels to each nucleotide to be incorporated and to utilize multiple lasers for stimulation of incorporated nucleotides. Such modifications are within the  
5 knowledge of those of ordinary skill in the art.

#### Brief Description of the Drawings

**[0014]** The foregoing and other objects, aspects, features, and advantages of the invention will become more apparent and may be better understood by  
10 referring to the following description taken in conjunction with the accompanying drawings, in which:

**[0015]** Fig. 1 is a perspective schematic diagram of a generalized embodiment of the invention;

**[0016]** Fig. 2 is a perspective schematic diagram of a generalized embodiment of the invention of Fig. 1 including an auto-focus component;  
15

**[0017]** Fig. 2a is a block diagram of an embodiment of the auto-focus portion of Fig. 2; and

**[0018]** Fig. 3 is a perspective schematic diagram of another embodiment of the invention.

20

#### Description of the Preferred Embodiment

**[0019]** In general overview, there are three main embodiments of the invention. The first is the use of multiple excitatory wavelengths with fluorescent probes in a TIRF system for single molecule detection and analysis;

the second is the use of a single wavelength with auto-focus with and without TIRF for single molecule detection and analysis; and the third is the use of multiple wavelengths with fluorescent probes in a TIRF system with auto-focus for single molecule detection and analysis.

5 [0020] Referring to Fig. 1, a general overview of the device is shown. The optical train 10 in the embodiment shown includes an optical source 14, a sample portion 18, and a signal detection portion 22. Light from the optical source 14 is directed onto the sample plate 30 of the sample portion 18 causing the single molecules of the sample to fluoresce. Fluorescence from the sample 10 plate 30 is filtered and detected by the detector 34 of the detector portion 22. Light of various wavelengths can be sourced and detected by various specific wavelength optical source portions 14 and detector portions 22.

[0021] In more detail, in this embodiment, the optical source 14 includes a laser 46 which is either tunable to the various wavelengths of interest or 15 replaceable by other lasers having the various wavelengths of interest. Light from the laser 46 passes through a band-pass filter 50 which passes a band of wavelengths centered on the wavelength of the laser 46. This light then passes through sizing collimator which includes a diverging lens 54 to widen the light beam for sample irradiation; a collimation lens 58 to make the beam paths 20 parallel; a field-stop 62 to reduce the size of the beam; and a converging lens 66 to produce the correct spot size.

**[0022]** The light is then reflected by an illumination dichroic 70, angled at 45° to the incident beam direction, through a TIR oil immersion objective 74 onto the sample plate 30. The sample plate 30 is positioned on a movable X-Y stage. Fluorescence from molecules on the sample plate 30 and other light 5 pass back through the oil immersion objective 74; through the illumination dichroic 70; and through a tube-lens 76. After passing through the tube-lens 74, the light passes through a first band-pass filter 78 to remove wavelengths of the stimulating light from the light source 46 which have passed this far through the optical train before reaching the camera 34, from the fluorescent light 10 generated by the fluorophore in the sample.

**[0023]** Referring also to Fig. 2, another embodiment of the invention including an auto-focus portion 26 is shown. Focus of the image of the sample's fluorescence is maintained in this embodiment by measuring the light reflected by the sample plate 30 from the light source 38 to the detector 42 of 15 the auto-focus portion 26. In order to maintain the focus of the sample on the sample plate 30 as the plate is moved on its X-Y positioner, light from a source 38, in one embodiment an infra-red source, is passed through and reflected by a 50/50 beam splitter cube 86, through a converging lens 90 to an auto-focus dichroic 94, which has been positioned in and at 45° to the optical path from the 20 illumination dichroic 70. The beam, reflecting from the auto-focus dichroic 94, passes through the illumination dichroic 70 and the TIR oil immersion objective 74 to the sample plate 30.

**[0024]** This light is reflected by the sample plate 30, back through the oil immersion objective 74 and the illumination dichroic 70 to be reflected by the auto-focus dichroic 94. This reflected light passes back through the converging lens 90 and the beam splitter cube 86 to reach auto-focus detector 42.

5 **[0025]** Referring to Fig. 2a, the auto-focus portion 26 in conjunction with the dichroic 94 and the sample portion 18 is shown. The auto-focus in this embodiment uses a skew beam method of operation. In this embodiment the light source 38 projects a beam onto the beam splitter cube 86 at an off-angle to the diagonal of the cube 86. The reflected beam 40 is reflected by the  
10 dichroic 94 and focused on the sample plate 30 by lens 74. The light returned from the sample 30 is focused by lens 74 back on the dichroic 94 which reflects the beam back to the beam splitter cube 86.

**[0026]** The angles are chosen such that when the sample is at the proper focal position from the lens 74, the reflected light from the dichroic 94 passes  
15 through the beam splitter cube 86 and hits the auto-focus detector 42. The auto-focus detector 42 includes two adjacent photocell detectors 42a, 42b. When the beam is in focus, the reflected light 41 from the dichroic 94 hits the detectors 42a, 42b equally.

**[0027]** When the sample plate 30 is moved (shown in phantom) the path  
20 from the lens 74 to the sample plate 30 changes, causing the return beam 43 (shown in phantom) to impinge upon the dichroic 94 at a different angle and be reflected to the beam splitter cube 86 off axis. As the beam 43 passes through the cube 86, it hits one 42b of the two adjacent photocells 42a, 42b more than

the other 42a. This causes the photocells 42a, 42b to have a voltage difference between them. This voltage difference can be used to control a motor (not shown) attached to the lens 74, to move the lens or the stage so as to bring the sample 30 back into focus again. Once the sample 30 is in focus, the two 5 photocell detectors 42a, 42b are equally illuminated, the voltage difference returns substantially zero and the motor stops moving the lens 74. Thus the optical system converts motion perpendicular to the sample into lateral motion across the detector 42.

**[0028]** In order to prevent light from the auto-focus source 38 from reaching 10 the detector 34, light from the sample, after passing through band-pass filter 78, passes through a notch filter 82 having a notch centered on maximum intensity of the wavelength of the fluorescence of the sample; before reaching the detector 34. This embodiment can be used with either a single wavelength excitatory source or with a multi-wavelength excitatory source as just described, 15 with and without the TIR oil immersion objective 74.

**[0029]** Because multi-wavelength sources of the desired power and multi-wavelength detectors are not readily available at the desirable wavelengths, Fig. 3 shows an embodiment of a system which permits near simultaneous 20 measurements at two different wavelengths with auto-focus using separate light sources. In this embodiment, two lasers 46', 46'', each set to a different wavelength, 647 nm and 532 nm respectively, produce beams which are reflected by turning mirrors 100 and 100' through band-pass filters 50', 50''. In one embodiment the 532 nm laser 46'' is a 2w laser and the 647nm laser 46' is

an 800mw laser. In this embodiment the bandpass filters 50', 50" are centered to pass 647 nm and 532 nm, respectively.

**[0030]** The first beam then passes through a diverging lens 54' and a relay lens 104, before being turned by a turning mirror 108. Similarly the second 5 beam passes through diverging lens 54" and relay lens 104' before being made coincident with the first beam in the dichroic beam combiner 108 positioned at 45° to the optical paths of the beams from the two lasers 46',46". The two beams then pass through a collimator including: a collimation lens 58' to make the beam paths parallel; a field-stop 62' to reduce the size of the beam; and a 10 converging lens 66' to produce the correct spot size at the sample plate 30'.

**[0031]** The light beams are then reflected by an illumination dichroic 70' through a Nikon 1.45 numerical aperture TIR oil immersion objective 74' onto the sample plate 30'. The sample plate 30' is positioned on a movable X-Y stage. In one embodiment the X-Y sample stage is equipped with a flow cell 15 sample plate to permit reagents to flow and reactions to occur repetitively during the operation of the system.

**[0032]** Fluorescence from molecules on the sample plate 30' and other light pass back through the TIR oil immersion objective 74'; back through the illumination dichroic 70'; and through a receiver including a tube-lens 76'. After 20 passing through the tube-lens 76', the light beams are reflected by a detector dichroic 112 through an 650 nm edge filter 116, a compensation plate 120, to remove beam ellipticity, a first 700 nm band-pass filter 78' and a 785 nm notch

filter 82' before reaching the red light detector 34'. In this embodiment the detector 34 is a CCD camera 34'.

**[0033]** At the same time, a portion of the light from the sample is reflected by the detector dichroic 112, and passes through a 580 nm band-pass filter 78" 5 and a 785 nm notch filter 82" before reaching the green light detector 34". In one embodiment this detector is a CCD camera 34". The images from the CCD cameras 34', 34" are collected and analyzed by a computer (not shown).

**[0034]** In order to maintain the focus of the sample on the sample plate 30' as the plate is moved on its X-Y positioner, 785 nm IR light from an 5 mw IR 10 source 38' is reflected by and passed through a 50/50 beam splitter cube 86', through a converging lens 90' to an auto-focus dichroic 94' in and at 45° to the optical path of the illumination dichroic 70'. The IR beam, reflecting from the auto-focus dichroic 94, passes through the illumination dichroic 70' and the TIR oil immersion objective 74' to the sample plate 30'. This light is reflected by the 15 sample plate 30', back through the TIR oil immersion objective 74', to be reflected by the auto-focus dichroic 94'. This reflected light passes back through the converging lens 90' and the 50/50 beam splitter cube 34" to reach auto-focus detector 42'.

**[0035]** The operation of the system depends in part on which configuration 20 is used. However, operation of the system is independent of sample preparation, which may take various forms. Sample DNA to be sequenced is rendered single stranded if necessary, and sheared to produce small fragments, ranging in size between about 20 bp and 100 bp. Fragments are

polyadenylated using terminal transferase or another appropriate enzyme. A poly-A tail of about 50 bp is preferred. An amino-terminated ATP is then added, and the fragments are attached to the sample plate 30' by direct amine attachment to epoxide on the surface. Next a poly-thymidine primer is

5 hybridized to the attached fragments.

**[0036]** If a two laser wavelength configuration is used, a fluorophore, which is excitable by green laser light, is attached to one of the adenines in the the poly-A portion of the template. When irradiated by the green light from the laser, the fluorophore fluoresces and its position is detected by the CCD

10 camera 34" with the appropriate filters to only permit fluorescence excited by the green light to reach the camera 34". This fluorescence serves as a way for the location of the fragment on the sample plate 30' to be determined after each nucleotide base is added to the sample plate 30'. If a single wavelength laser configuration is used, the fluorophore is not attached and the incorporated

15 fluorescent bases (see below) provide the fluorescence to determine the location of the DNA fragment on the sample plate 30'.

**[0037]** Next, single nucleotides are introduced on to the plate 30', one nucleotide species at a time. Each species carries a fluorophore that will fluoresce when excited by red laser light. After each nucleotide species with

20 the fluorescent label is introduced onto the sample plate 30' along with the appropriate polymerase mixture and allowed to react, the sample plate is washed to remove any nucleotide which has not be incorporated into the

primer. Only a nucleotide that is complementary to the next nucleotide of the template adjacent the 3' terminus of the primer will be incorporated.

**[0038]** Then the sample plate 30' is irradiated by red laser light. If the last added nucleotide is incorporated into the chain, the incorporated nucleotide in 5 the chain will fluoresce. If the nucleotide is not incorporated, no fluorescence will be detected. This light is detected by the CCD camera which has the appropriate filters in place to only permit fluorescent light excited by the red laser light to reach the CCD camera 34'.

**[0039]** Next, if the fluorescent nucleotide is incorporated, the fluorophore is 10 cleaved and capped as described in detail below. The next nucleotide species with attached fluorophore is then added and the cycle repeated.

**[0040]** By keeping track of which nucleotide is added to each duplex by noting the incorporated fluorescence, the sequence of nucleotide bases that are complementary to the attached fragment is determined. That sequence data 15 may be combined with the sequence data from other fragments to thereby sequence the entire DNA sample or genome.

## EXAMPLE

**[0041]** The 7249 nucleotide genome of the bacteriophage M13mp18 was sequenced using a single molecule system of the invention. Purified, single-stranded viral M13mp18 genomic DNA was obtained from New England Biolabs. Approximately 25ug of M13 DNA was digested to an average 20 fragment size of 40 bp with 0.1 U Dnase I (New England Biolabs) for 10 minutes at 37°C. Digested DNA fragment sizes were estimated by running an

aliquot of the digestion mixture on a precast denaturing (TBE-Urea) 10% polyacrylamide gel (Novagen) and staining with SYBR Gold (Invitrogen/Molecular Probes). The DNase I-digested genomic DNA was filtered through a YM10 ultrafiltration spin column (Millipore) to remove small 5 digestion products less than about 30 nt. Approximately 20 pmol of the filtered DNase I digest was then polyadenylated with terminal transferase according to known methods (Roychoudhury, R and Wu, R.1980, Terminal transferase-catalyzed addition of nucleotides to the 3' termini of DNA. Methods Enzymol. 65(1):43-62.). The average dA tail length was 50+/-5 nucleotides. Terminal 10 transferase was then used to label the fragments with Cy3-dUTP. Fragments were then terminated with dideoxyTTP (also added using terminal transferase). The resulting fragments were again filtered with a YM10 ultrafiltration spin column to remove free nucleotides and stored in ddH<sub>2</sub>O at -20°C.

**[0042]** Epoxide-coated glass slides were prepared for oligo attachment. 15 Epoxide-functionalized 40mm diameter #1.5 glass cover slips (slides) were obtained from Erie Scientific (Salem, NH). The slides were preconditioned by soaking in 3xSSC for 15 minutes at 37°C. Next, a 500pM aliquot of 5' aminated polydT(50) (polythymidine of 50bp in length with a 5' terminal amine) was incubated with each slide for 30 minutes at room temperature in a volume of 20 80ml. The resulting slides had poly(dT50) primer attached by direct amine linkage to the epoxide. The slides were then treated with phosphate (1M) for 4 hours at room temperature in order to passivate the surface. Slides were then

stored in polymerase rinse buffer (20mM Tris, 100mM NaCl, 0.001% Triton X-100, pH 8.0) until they were used for sequencing.

**[0043]** For sequencing, the slides were placed in a modified FCS2 flow cell (Bioptechs, Butler, PA) using a 50um thick gasket. The flow cell was placed on 5 a movable stage that is part of a high-efficiency fluorescence imaging system built around a Nikon TE-2000 inverted microscope equipped with a total internal reflection (TIR) objective. The slide was then rinsed with HEPES buffer with 100mM NaCl and equilibrated to a temperature of 50°C. An aliquot of the M13 template fragments described above was diluted in 3xSSC to a final 10 concentration of 1.2nM. A 100ul aliquot was placed in the flow cell and incubated on the slide for 15 minutes. After incubation, the flow cell was rinsed with 1xSSC/HEPES/0.1%SDS followed by HEPES/NaCl. A passive vacuum apparatus was used to pull fluid across the flow cell. The resulting slide 15 contained M13 template/olig(dT) primer duplex. The temperature of the flow cell was then reduced to 37°C for sequencing and the objective was brought into contact with the flow cell.

**[0044]** For sequencing, cytosine triphosphate, guanidine triphosphate, adenine triphosphate, and uracil triphosphate, each having a cyanine-5 label (at the 7-deaza position for ATP and GTP and at the C5 position for CTP and UTP 20 (PerkinElmer)) were stored separately in buffer containing 20mM Tris-HCl, pH 8.8, 10 mM MgSO<sub>4</sub>, 10 mM (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 10mM HCl, and 0.1% Triton X-100, and 100U Klenow exo<sup>-</sup> polymerase (NEN). Sequencing proceeded as follows.

**[0045]** First, initial imaging was used to determine the positions of duplex on the epoxide surface. The Cy3 label attached to the M13 templates was imaged by excitation using a laser tuned to 532 nm radiation (Verdi V-2 Laser, Coherent, Inc., Santa Clara, CA) in order to establish duplex position. For each 5 slide only single fluorescent molecules that were imaged in this step were counted. Imaging of incorporated nucleotides as described below was accomplished by excitation of a cyanine-5 dye using a 635 nm radiation laser (Coherent). 5uM Cy5CTP was placed into the flow cell and exposed to the slide for 2 minutes. After incubation, the slide was rinsed in 1xSSC/15 mM 10 HEPES/0.1% SDS/pH 7.0 ("SSC/HEPES/SDS") (15 times in 60ul volumes each, followed by 150 mM HEPES/150 mM NaCl/pH 7.0 ("HEPES/NaCl") (10 times at 60ul volumes). An oxygen scavenger containing 30% acetonitrile and scavenger buffer (134ul HEPES/NaCl, 24ul 100mM Trolox in MES, pH6.1, 10ul 15 DABCO in MES, pH6.1, 8ul 2M glucose, 20ul NaI (50mM stock in water), and 4ul glucose oxidase) was next added. The slide was then imaged (500 frames) for 0.2 seconds using an Inova301K laser (Coherent) at 647nm, followed by green imaging with a Verdi V-2 laser (Coherent) at 532nm for 2 seconds to confirm duplex position. The positions having detectable fluorescence were recorded. After imaging, the flow cell was rinsed 5 times each with 20 SSC/HEPES/SDS (60ul) and HEPES/NaCl (60ul). Next, the cyanine-5 label was cleaved off incorporated CTP by introduction into the flow cell of 50mM TCEP for 5 minutes, after which the flow cell was rinsed 5 times each with SSC/HEPES/SDS (60ul) and HEPES/NaCl (60ul). The remaining nucleotide

was capped with 50mM iodoacetamide for 5 minutes followed by rinsing 5 times each with SSC/HEPES/SDS (60ul) and HEPES/NaCl (60ul). The scavenger was applied again in the manner described above, and the slide was again imaged to determine the effectiveness of the cleave/cap steps and to identify 5 non-incorporated fluorescent objects.

**[0046]** The procedure described above was then conducted 100 nM Cy5dATP, followed by 100nM Cy5dGTP, and finally 500nM Cy5dUTP. The procedure (expose to nucleotide, polymerase, rinse, scavenger, image, rinse, cleave, rinse, cap, rinse, scavenger, final image) was repeated exactly as 10 described for ATP, GTP, and UTP except that Cy5dUTP was incubated for 5 minutes instead of 2 minutes. Uridine was used instead of Thymidine due to the fact that the Cy5 label was incorporated at the position normally occupied by the methyl group in Thymidine triphosphate, thus turning the dTTP into dUTP. In all 64 cycles (C, A, G, U) were conducted as described in this and the 15 preceding paragraph.

**[0047]** Once 64 cycles were completed, the image stack data (i.e., the single molecule sequences obtained from the various surface-bound duplex) were aligned to the M13 reference sequence. The image data obtained was compressed to collapse homopolymeric regions. Thus, the sequence 20 "TCAAAGC" would be represented as "TCAGC" in the data tags used for alignment. Similarly, homopolymeric regions in the reference sequence were collapsed for alignment. The sequencing protocol described above resulted in an aligned M13 sequence with an accuracy of between 98.8% and 99.96%

(depending on depth of coverage). The individual single molecule sequence read lengths obtained ranged from 2 to 33 consecutive nucleotides with about 12.6 consecutive nucleotides being the average length.

**[0048]** The alignment algorithm matched sequences obtained as described 5 above with the actual M13 linear sequence. Placement of obtained sequence on M13 was based upon the best match between the obtained sequence and a portion of M13 of the same length, taking into consideration 0, 1, or 2 possible errors. All obtained 9-mers with 0 errors (meaning that they exactly matched a 9-mer in the M13 reference sequence) were first aligned with M13. Then 10-, 10 11-, and 12-mers with 0 or 1 error were aligned. Finally, all 13-mers or greater with 0, 1, or 2 errors were aligned. At a coverage depth of greater than or equal to one, 5,001 bases of the 5,066 base M13 collapsed genome were covered at an accuracy of 98.8%. Similarly, at a coverage depth of greater than or equal to five, 83.6% of the genome was covered at an accuracy of 99.3%, and at a 15 depth of greater than or equal to ten, 51.9% of the genome was covered at an accuracy of 99.96%. The average coverage depth was 12.6 nucleotides.

**[0049]** The foregoing description has been limited to a few specific 20 embodiments of the invention. It will be apparent however, that variations and modifications can be made to the invention, with the attainment of some or all of the advantages of the invention. It is therefore the intent of the inventor to be limited only by the scope of the appended claims.

**[0050]** What is claimed is:

**Claims**

1. An apparatus for single molecule analysis, the apparatus comprising:  
a support having a sample located thereon;  
5                   at least two lasers that produce light at distinct wavelengths;  
                  a collimator for directing said light onto said sample through a total  
internal reflection objective;  
                  a receiver for receiving fluorescent emissions produced by a single  
molecule in said sample in response to said light at distinct wavelengths; and  
10                at least one detector for detecting each of said wavelengths in said  
fluorescent emissions.
2. The apparatus of claim 1, further comprising a focusing laser for maintaining  
focus of said objective on said sample.
3. The apparatus of claim 2, wherein said focusing laser is an infrared laser.
- 15               4. The apparatus of claim 1, wherein said collimator comprises a band-pass  
filter, a diverging lens in optical communication with said band-pass filter, a  
collimating lens in optical communication with said diverging lens, a field stop in  
optical communication with said collimating lens, and a converging lens in  
optical communication with said field stop.

5. The apparatus of claim 1, wherein said receiver comprises a tube lens and a band-pass filter in optical communication with said tube lens.
6. The apparatus of claim 1, wherein said at least one detector is a camera.
7. The apparatus of claim 1, wherein said at least two lasers comprise a first laser tuned to a wavelength of about 532 nm and a second laser tuned to a wavelength of about 647 nm.
8. The apparatus of claim 1, wherein said collimator comprises a converging lens in optical communication with a field stop, said field stop in optical communication with a collimating lens.
- 10 9. The apparatus of claim 1, wherein said support is a stage upon which is located a flow cell.
10. The apparatus of claim 9, wherein said flow cell comprises an inlet port and an outlet port for exposing of said sample to reagents.
11. The apparatus of claim 10, wherein said flow cell further comprises a slide 15 on which said sample is placed.

12. The apparatus of claim 1, wherein said sample comprises nucleic acid duplex.
13. The apparatus of claim 12, wherein at least a portion of said nucleic acid duplex is optically resolvable in isolation from other nucleic acid duplexes of  
5 said sample.
14. The apparatus of claim 1, wherein said single molecule is a nucleic acid duplex comprising a template and a primer of template-dependent synthesis hybridized thereto.
15. The apparatus of claim 14, wherein said fluorescent emission is produced  
10 by a label attached to a nucleotide incorporated into said duplex as a result of template-dependent sequencing by synthesis.
16. The apparatus of claim 6, wherein said at least one camera is in communication with a computer for storage and analysis of images produced by said fluorescent emission.

17. An apparatus for analysis of single molecules, the apparatus comprising:

- a first laser;
- a band-pass filter in optical communication with said first laser;
- at least one first lens in optical communication with said band-pass filter;
- 5 a second laser;
- a second band-pass filter in optical communication with said second laser;
- at least one second lens in optical communication with said second band-pass filter;
- 10 a dichroic beam combiner in optical communication with said at least one first lens and said at least one second lens;
- a collimator in optical communication with said dichroic beam combiner;
- a field stop in optical communication with said collimator;
- 15 an illumination dichroic lens for passing light from said first and second lasers to an objective for focusing on a sample and for passing fluorescent emissions from said sample to a camera dichroic filter, said camera dichroic filter for passing light of a first wavelength to a first camera and light of a second wavelength to a second camera; and
- 20 a computer in communication with said first and second cameras for analyzing said fluorescent emissions.

18. The apparatus of claim 17, further comprising a tube lens in optical communication with said illumination dichroic filter.

19. The apparatus of claim 17, further comprising an auto-focus source.

20. The apparatus of claim 19, wherein said auto-focus source is an infrared laser in optical communication with said illumination dichroic filter.

21. The apparatus of claim 17 wherein the objective is a TIRF objective.

5 22. An apparatus for analyzing the presence of a single molecule using total internal reflection comprising:

a sample plate having a sample located thereon;

a light source providing two wavelengths of light;

a sizing collimator producing a spot of collimated light of a defined size

10 on said sample;

a receiver for reducing non-fluorescent light in the fluorescent image produced by said sample by each of said wavelengths of light; and

15 a detector in optical communication with said receiver, said detector positioned to detect said fluorescent image produced by said sample by each of said wavelengths of light.

23. The apparatus of claim 22 further comprising auto-focusing device for maintaining focus of the fluorescent image of said sample.

24. The apparatus of claim 22 wherein said light source for providing two wavelengths of light comprises two lasers.

25. The apparatus of claim 22 wherein said sizing collimator for producing a spot of collimated light of a defined size on said sample comprises:

- 5 a band-pass filter,
- a diverging lens in optical communication with said band-pass filter;
- a collimating lens in optical communication with said diverging lens;
- a field stop in optical communication with said collimating lens, and
- a converging lens in optical communication with said field stop.

10 26. The apparatus of claim 22 wherein said receiver for receiving said fluorescent image produced by said sample by each of said wavelengths of light and reducing non-fluorescent light comprises:

- a tube lens; and
- a band-pass in optical communication with said tube lens.

15 27. The apparatus of claim 22 wherein said detector for detecting said fluorescent image produced by said sample by each of said wavelengths of light comprises a camera.

28. An apparatus for analyzing the presence of a single molecule using total internal reflection comprising:

a sample plate having a sample located thereon;

means for providing two wavelengths of light;

5 means for producing a spot of collimated light of a defined size on said sample;

means for receiving a fluorescent image produced by said sample by each of said wavelengths of light and reducing non-fluorescent light; and

means for detecting said fluorescent image produced by said sample by

10 each of said wavelengths of light.

29. The apparatus of claim 28 wherein said means for producing a spot of collimated light comprises a TIRF objective.

30. The apparatus of claim 28 further comprising a means for autofocusing the fluorescent image produced by the sample.

31. An apparatus for analyzing the presence of a single molecule comprising:

- a sample plate having a sample located thereon;
- a first laser providing a fluorescence stimulating wavelength of light;
- 5 a second laser providing a second wavelength of light;
- a collimator producing, from said first laser, a spot of collimated light of a defined size on said sample;
- a detector for detecting a fluorescent image produced by said sample in response to said spot of collimated light; and
- 10 an autofocus module adjusting the focus of the fluorescent image in response to the light from said second laser.

32. The apparatus of claim 31 further comprising a TIRF lens focusing the spot of collimated light on said sample.

33. An apparatus for analyzing the presence of a single molecule comprising:

means for holding a sample;

means for providing a fluorescence stimulating wavelength of light;

5 means for providing a second wavelength of light;

means for producing, from said means for providing a fluorescence stimulating wavelength of light, a spot of collimated light of a defined size on said sample;

means for detecting a fluorescent image produced by said sample; and

10 means for adjusting the focus of the fluorescent image in response to the light from said means for providing a second wavelength of light.

34. The apparatus of claim 31 further comprising a means for focusing the spot of collimated light on said sample utilizing total internal reflection.

35. A method for analyzing a single molecule comprising the steps of:

15 providing a sample;

producing light at two distinct wavelengths;

directing said light at two distinct wavelengths onto said sample through a total internal reflection objective;

receiving fluorescent emissions produced by a single molecule in said

20 sample in response to said light at two distinct wavelengths; and

detecting said fluorescent emissions.

36. The method of claim 35 wherein said step of directing comprises collimating the light at two distinct wavelengths and stopping the size of the beam to match the size of the sample once it passes through the total internal reflection objective.
- 5 37. The method of claim 35 further comprising the step of autofocusing the fluorescent emissions prior to detecting said fluorescent emissions.
38. A method for analyzing a single molecule comprising the steps of:
  - providing a sample;
  - producing light at a first wavelength;
  - 10 directing said light at said first wavelength onto said sample through a total internal reflection objective;
  - receiving a fluorescent emission produced by a single molecule in said sample in response to said light at said first wavelength;
  - autofocusing the fluorescent emission; and
  - 15 detecting said fluorescent emission.

39. A method for sequencing a nucleic acid, comprising the steps of:

- (a) attaching a nucleic acid comprising a first optically-detectable label to a surface;
- (b) exposing said nucleic acid to a first wavelength of light;
- 5 (c) determining the location of said nucleic acid based upon the response of said first optically-detectable label to said first wavelength of light;
- (d) exposing said nucleic acid to a polymerase and a nucleotide comprising a second optically-detectable label;
- (e) removing unincorporated nucleotides;
- 10 (f) exposing said nucleic acid to a second wavelength of light using total internal reflection;
- (g) determining the location of said second optically-detectable label based upon the response of said second optically-detectable label to said second wavelength of light;
- 15 (h) removing or inactivating said second optically-detectable label; and
- (i) repeating steps (d) through (h) for second and subsequent nucleotides.

40. The method of claim 39 further comprising the step of attaching said first optically-detectable label to said nucleic acid.

41. The method of claim 40 wherein the step of attaching said first optically-detectable label to said nucleic acid is performed using a Cy3-dUTP fluorophore and a terminal transferase.

42. The method of claim 41 wherein the first wavelength of light is 532 nm.

5 43. The method of claim 39 wherein the step of attaching a nucleic acid to a surface comprises the steps of:

polythymylating said surface;

polyadenylating the nucleic acid using a terminal transferase; and

forming a duplex of the polyadenylated nucleic acid and the

10 polythymylated surface.

44. The method of claim 39 wherein the step of determining the location of said nucleic acid based upon the response of said first optically detectable label to said first wavelength of light comprises the step of viewing an image of the nucleic acid with a CCD camera and recording the image.

15 45. The method of claim 44 wherein the step of viewing an image of the nucleic acid comprises the step of filtering out said first wavelength of light.

46. The method of claim 39 wherein said nucleotide comprising a second optically detectable label comprises a cyanine-5 fluorophore.

47. The method of claim 46 wherein the second wavelength of light is at 635 nm.

48. The method of claim 39 wherein exposing said nucleic acid to a second wavelength of light using total internal reflection comprises the step of passing 5 said second wavelength of light through a total internal reflection objective.

49. The method of claim 39 wherein the step of removing or inactivating said second optically-detectable label comprises the steps of:  
removing the label using TCEP; and  
capping with iodoacetamide.

10 50. A method for sequencing a nucleic acid, comprising the steps of:  
(a) attaching a nucleic acid to a surface;  
(b) exposing said surface to a first wavelength of light;  
(c) autofocusing an image of said surface in response to said first wavelength of light;  
15 (d) exposing said nucleic acid to a polymerase and a nucleotide comprising a first optically-detectable label;  
(e) removing any unincorporated nucleotide;  
(f) exposing said nucleic acid to a second wavelength of light;

(g) determining the location of said first optically-detectable label based upon the response of said first optically-detectable label to said second wavelength of light;

(h) removing or inactivating said first optically-detectable label; and

5 (i) repeating steps (d) through (h) for second and subsequent nucleotides.

51. The method of claim 50 wherein the step of attaching a nucleic acid to a surface comprises the steps of:

polythymylating the surface;

10 polyadenylating the nucleic acid using a terminal transferase; and forming a duplex of the polyadenylated nucleic acid and said polythymylated surface.

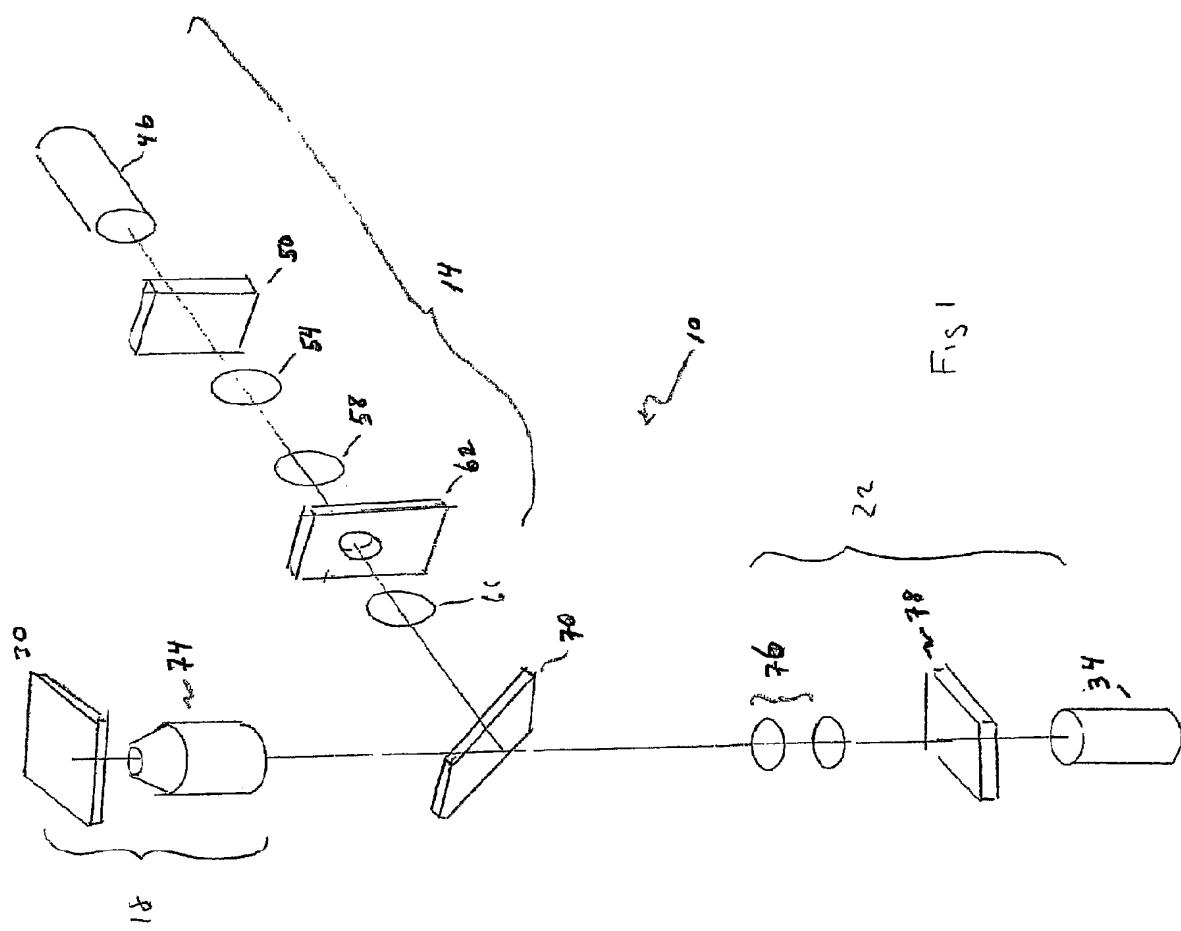
52. The method of claim 50 wherein said first optically detectable label comprises a cyanine-5 fluorophore.

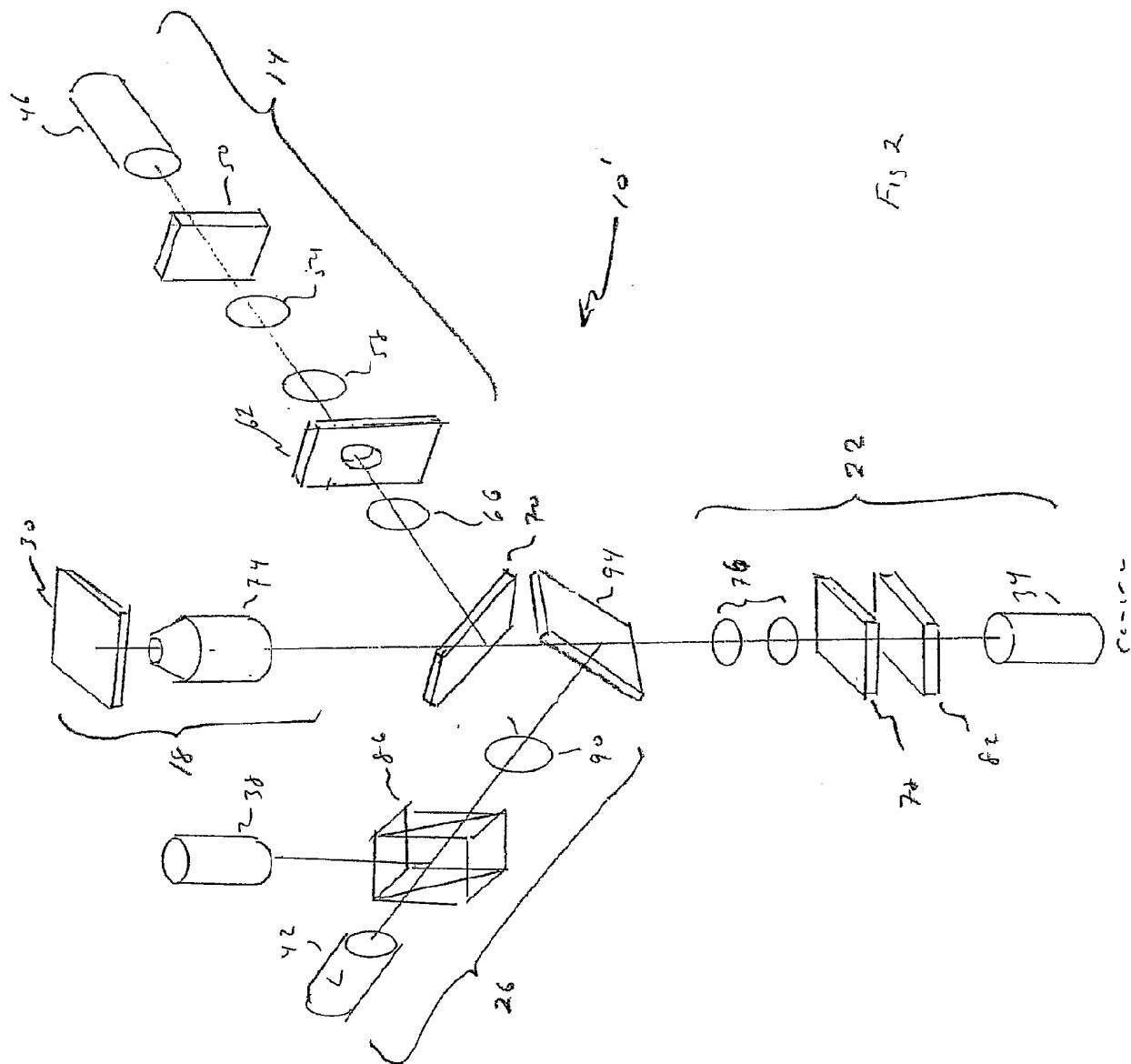
15 53. The method of claim 52 wherein the second wavelength of light is at 635 nm.

54. The method of claim 50 wherein the step of removing or inactivating said first optically-detectable label comprises the steps of:

removing the label using TCEP; and

capping with iodoacetamide.





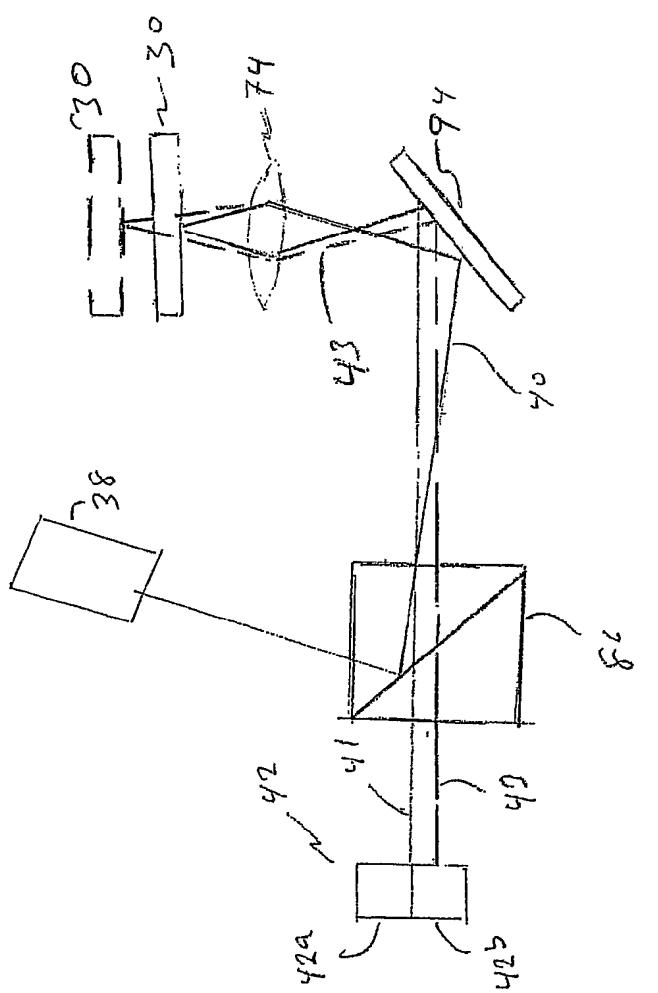


Fig 2a

